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www.mcafeetaft.com

ATTORNEY AT LAW

JUN 09 2006

MICHAEL J. LABRIE
ATTORNEY AT LAW

WRITER DIRECT
(405) 552-2305
FAX: (405) 228-7305
michael.labrie@mcafeetaft.com

FAX MESSAGE

TO: Commissioner for Patents **FAX NO.:** (571) 273-8300
Examiner: Harish Dass

FROM: Michael J. LaBrie **FILE NO.:** 32995.12

DATE: June 9, 2006

MESSAGE:

Re: USSN 10/044,679 REAL TIME FINANCIAL INSTRUMENT IMAGE EXCHANGE by Mark S. Craig et al.

See attached Information Disclosure Statement for the above case.

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PTO/SB/21 (09-04)

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TRANSMITTAL
FORM

(To be used for all correspondence after initial filing)

Total Number of Pages in This Submission

| | |
|------------------------|------------------|
| Application Number | 10/044,679 |
| Filing Date | January 11, 2002 |
| First Named Inventor | Mark S. Craig |
| Art Unit | 3628 |
| Examiner Name | Harish Dasa |
| Attorney Docket Number | 32995.12 |

ENCLOSURES (Check all that apply)

| | | |
|---|--|---|
| <input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached | <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD | <input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Return Receipt Postcard |
| <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Reply to Missing Parts/ Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53 | | |
| Remarks It is believed that no fee is required; however, the Director is hereby authorized to charge any fees which may be necessary to Deposit Account No. 500449. | | |

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

| | | | |
|--------------|---|----------|--------|
| Firm Name | McAfee & Taft | | |
| Signature |  | | |
| Printed name | Michael J. LaBrie | | |
| Date | June 9, 2006 | Reg. No. | 39,507 |

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

| | |
|-----------------------|---|
| Signature |  |
| Typed or printed name | Michael J. LaBrie |
| Date | June 9, 2006 |

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PATENT
Attorney Ref.: 32995.12

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

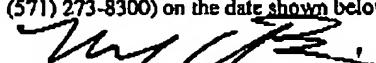
| | | |
|------------------|---|--------------------------|
| Applicants: | Mark S. Craig, Michael M. Miller and Charles H. Downs |) Confirmation No.: 9713 |
| | |) |
| Application No.: | 10/044,679 |) Group Art Unit: 3628 |
| | |) |
| Filed: | January 11, 2002 |) Examiner: Harish Dass |
| | |) |
| For: | REAL TIME FINANCIAL INSTRUMENT IMAGE EXCHANGE SYSTEM AND METHOD |) |
| | |) |

THIRD SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

The following references were cited in a European Examination Report issued April 28, 2006 and received by our firm on June 5, 2006. The examination report was mailed prior to the mailing date of a first Office Action on the merits in the referenced patent application. It is believed that no fee is required. However, the Commissioner is authorized to charge any fees which may be necessary to Deposit Account No. 500449. A duplicate of this sheet is enclosed. Copies of the documents listed on the enclosed Form PTO-1449 are submitted herewith.

I hereby certify that this correspondence is being transmitted to the U.S. Patent and Trademark Office (Fax No.: (571) 273-8300) on the date shown below:


Michael S. Labrie

June 9, 2006

Date of Signature

-1-

177995_1.DOC

A. U.S. Patent Application Publication

| Publication No. | Inventor | Publication Date |
|--------------------|----------------|------------------|
| US 2005/0100101 A1 | Tsuzuki et al. | 05-2005 |

B. Foreign Patent

PCT Publication No. WO 99/18533, published April 15, 1999.

Respectfully submitted,



June 9, 2006
Date

Michael J. LaBrie
Registration No. 39,507
McAfee & TAFT
Tenth Floor, Two Leadership Square
211 North Robinson
Oklahoma City, Oklahoma 73102
Telephone: (405) 553-2305
FAX No. (405) 228-7305
E-Mail: michael.labrie@mcafeetaft.com
Attorney for Applicants

JUN 09 2006

Page 1 of 1

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|--|---|------------------------|-------------|
| Substitute for form 1449/PTO | | Complete if Known | |
| INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i> | | Application Number | 10/044,679 |
| | | Filing Date | 01/11/2002 |
| | | First Named Inventor | Harish Dass |
| | | Art Unit | 3628 |
| | | Examiner Name | Harish Dass |
| Sheet | 1 | of | 1 |
| | | Attorney Docket Number | 32995.12 |

U. S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

| Examiner Initials | Cite No. | Foreign Patent Document Country Code Number Kind Code | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear | T |
|-------------------|----------|--|--------------------------------|--|---|---|
| | 2 | PCT WO/9918533 | 04/15/1999 | Citibank, N.A. | | |
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EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.